

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
25 October 2001 (25.10.2001)

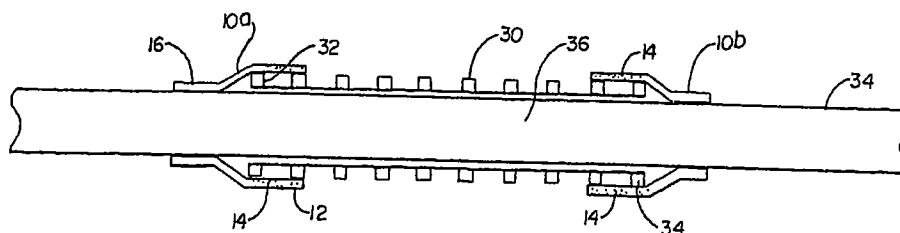
PCT

(10) International Publication Number  
**WO 01/78628 A1**

- (51) International Patent Classification<sup>7</sup>: **A61F 2/06** 5175 Nellmark Avenue, Rogers, MN 55374 (US). HAN-  
SON, Scott, M.; 14802 Yosemite Avenue South, Savage,  
MN 55378 (US).
- (21) International Application Number: PCT/US01/03317
- (22) International Filing Date: 1 February 2001 (01.02.2001) (74) Agent: ARRETT, Richard, A.; Vidas, Arrett &  
Steinkraus, Suite 2000, 6109 Blue Circle Drive, Min-  
netonka, MN 55343-9185 (US).
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 09/549,286 14 April 2000 (14.04.2000) US (84) Designated States (regional): European patent (AT, BE,  
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,  
NL, PT, SE, TR).
- (71) Applicant: SCIMED LIFE SYSTEMS, INC. [US/US]; One Scimed Place, Maple Grove, MN 55311-1566 (US). Published:  
— with international search report
- (72) Inventors: GERBERDING, Brent, C.; 2524 Central Av-  
enue, Alameda, CA 94538 (US). HOLTAN, David, J.;

*For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.*

(54) Title: STENT SECUREMENT SYSTEM



(57) Abstract: A stent delivery system having a delivery catheter, a stent mounted thereupon and at least one stent retaining sleeve to retain the stent on the catheter prior to stent delivery. At least a portion of the at least one stent retaining sleeve being further characterized as having a plurality through-holes. The through-holes providing the at least one stent retaining sleeve with further radial and columnar strength when compared to a sleeve without through-holes.

WO 01/78628 A1

## TITLE OF INVENTION

## STENT SECUREMENT SYSTEM

## CROSS REFERENCE TO RELATED APPLICATIONS

5                    This PCT application claims priority from US Application No. 09/549,286, filed on April 14, 2000, the entire contents of which are hereby incorporated by reference.

## STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH

10                   Not Applicable

## BACKGROUND OF THE INVENTION

                  The present invention relates to a delivery system in which a catheter carries a stent on its distal end portion. The stent is held in place around the catheter prior to and during percutaneous delivery by means of one and preferably two sleeves. The stent may be self-expanding, such as a NITINOL shape memory stent, or it may be expandable by means of an inflatable portion of the catheter, such as a balloon. The sleeve or sleeves have a plurality of holes which may be bored partially or completely through the material of the sleeve or sleeves. The holes may be mechanically bored or laser bored. The holes are distributed about the surface of the sleeve or sleeves in a uniform pattern but may have a variety of shapes and sizes. The sleeve or sleeves may be composed of an elastic polymer, a non-elastic polymer or a combination thereof.

                  Stents and stent delivery assemblies are utilized in a number of medical procedures and situations, and as such their structure and function are well known. A stent is a generally cylindrical prosthesis introduced via a catheter into a lumen of a body vessel in a configuration having a generally reduced diameter and then expanded to the diameter of the vessel. In its expanded configuration, the stent supports and reinforces the vessel walls while maintaining the vessel in an open, unobstructed condition.

30                   Both self-expanding and inflation expandable stents are well known and widely available in a variety of designs and configurations. Self-expanding stents must

be maintained under a contained sheath or sleeve(s) in order to maintain their reduced diameter configuration during delivery of the stent to its deployment site. Inflation expandable stents are crimped to their reduced diameter about the delivery catheter, then maneuvered to the deployment site and expanded to the vessel diameter by fluid  
5 inflation of a balloon positioned between the stent and the delivery catheter. The present invention is particularly concerned with delivery and deployment of inflation expandable stents, although it is generally applicable to self-expanding stents when used with balloon catheters.

In advancing an inflation expandable stent through a body vessel to the  
10 deployment site, there are a number of important considerations. The stent must be able to securely maintain its axial position on the delivery catheter without translocating proximally or distally and especially without becoming separated from the catheter. The stent, particularly its distal and proximal ends, must be protected to prevent distortion of the stent and to prevent abrasion and/or reduce trauma of the  
15 vessel walls.

Inflation expandable stent delivery and deployment assemblies are known which utilize restraining means that overlie the stent during delivery. U.S. Patent No. 4,950,227 to Savin et al., relates to an inflation expandable stent delivery system in which a sleeve overlaps the distal or proximal margin (or both) of the stent  
20 during delivery. During inflation of the stent at the deployment site, the stent margins are freed of the protective sleeve(s). U.S. Patent 5,403,341 to Solar, relates to a stent delivery and deployment assembly which uses retaining sheaths positioned about opposite ends of the compressed stent. The retaining sheaths of Solar are adapted to tear under pressure as the stent is radially expanded, thus releasing the stent from  
25 engagement with the sheaths. U.S. Patent No. 5,108,416 to Ryan et al., describes a stent introducer system which uses one or two flexible end caps and an annular socket surrounding the balloon to position the stent during introduction to the deployment site. The entire contents of each of the patents cited herein is hereby incorporated by reference.

30 This invention provides an improvement over the prior art, by providing a stent delivery system wherein the stent retaining sleeves have a reduced radial and

columnar strength thereby allowing a delivery catheter to deploy a balloon expandable stent at lower pressures with greater consistency than otherwise would be possible. The lower strength of the sleeves also allows the sleeves to be readily retracted from the stent without additional lubrication, however lubricants may still be applied to a  
5 stent delivery catheter using the sleeves described.

#### BRIEF SUMMARY OF THE INVENTION

This invention provides for a stent delivery system wherein the stent is held onto the stent delivery catheter with one or more tubular shaped stent retaining  
10 sleeves. The stent retaining sleeves contain a plurality of through-holes which may be distributed in a predetermined pattern on at least a portion of the sleeve tube. Alternatively, the through-holes may be distributed irregularly on the at least a portion of the sleeve tube.

The through-holes may have a variety of size and shape, or may be  
15 uniformly sized and shaped. The through-holes may also be characterized as dimples, indentations, slits or cuts made through the sleeve tube surface.

The presence of the through-holes provide the sleeve or sleeves with reduced radial and columnar strength allowing the sleeve(s) to be more easily retracted and have the capacity to release a stent with greater consistency under reduced  
20 pressure, when compared to prior stent retaining sleeves.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

A detailed description of the invention is hereafter described with specific reference being made to the drawings in which:

25 FIG. 1 is a perspective view of a stent delivery catheter equipped with a pair of stent retaining sleeves having a plurality of bored through holes in the uninflated position;

FIG. 2 is a perspective view of the stent delivery catheter shown in FIG. 1 in the inflated position;

30 FIG. 3 is a perspective view of an embodiment of a tubular sleeve having a portion with a plurality of bored through-holes; and

FIG. 4 is a perspective view of a second embodiment of a tubular sleeve having a portion with a plurality of through-holes, the through-holes being cuts.

#### DETAILED DESCRIPTION OF THE INVENTION

5 While this invention may be embodied in many different forms, there are shown in the drawings and described in detail herein specific preferred embodiments of the invention. The present disclosure is an exemplification of the principles of the invention and is not intended to limit the invention to the particular embodiments illustrated.

10 FIGs. 1 and 2 show a first embodiment of a stent delivery system wherein a stent 30 is mounted upon a stent delivery catheter 38. FIG. 1 shows the stent delivery system prior to stent delivery. FIG. 2 shows the stent delivery system during stent delivery. As may be seen in both figures, a pair of sleeves 10a and 10b are employed to retain the stent ends 32 and 34 on an inflatable portion 36 of a delivery catheter 38 prior  
15 to stent delivery.

As best seen in FIG. 2 when the inflatable portion 36 is inflated the stent 30 is expanded radially. As the stent 30 expands the stent ends 32 and 34 are pulled away from sleeves 10a and 10b. When the inflatable portion 36 is inflated to a predetermined extent, the stent ends 32 and 34 will be completely freed from the sleeves  
20 10a and 10b.

The sleeves 10a and 10b of the present invention will typically be distinguished by having a stent retaining portion 12 which contains a plurality of through-holes 14, and an anchored portion 16 which lacks through-holes.

The through-holes 14 which are present in the sleeves 10a and 10b  
25 provide the respective sleeve with reduced radial and columnar strength relative to a sleeve that does not have the through-holes. The reduced radial strength of sleeves 10a and 10b allows the sleeves to be withdrawn from stent 30 with less radial expansion than previous sleeve types. Consequently, a balloon expandable stent may be released from the stent retaining sleeves 10a and 10b with less inflation pressure than previously  
30 required. For example, a particular example of the present invention which includes a pair of sleeves having through-holes, may be withdrawn from the surface of a stent when

the stent is expanded under approximately 3.5 atmospheres of pressure. On the other hand, some sleeves without through-holes may require between 4.5 to 5 atmospheres of pressure to be withdrawn from the stent surface.

In addition, the reduced columnar strength of the sleeves 10a and 10b causes the sleeves to have a reduced capacity to be retained upon stent ends 32 and 34 as they expand outward. As a result, in the present stent delivery system there may be no need to provide lubrication between sleeves 10a, 10b and stent ends 32, 34 to release the stent during expansion. Although a slip coat may still be used for improved performance.

As may be seen in FIG. 3, the through-holes 14 may be uniformly distributed about stent retaining portion 12. Alternatively, the through-holes 14 may be distributed randomly and/or they may be distributed about the entire sleeve 10. The through-holes 14 may have a variety of sizes and shapes, some examples of suitable hole shapes include ellipsoids such as circles and ovals, but non-uniform shapes may be used as well. Preferably, the through-holes 14 have a uniform circular shape and diameter such as those shown in FIG. 3. Typically, the through-holes 14 will have a diameter within the range of 10-1000 microns and preferably within the range of 75-100 microns, however other sizes may also be utilized.

The through-holes 14 may be bored into or through the sleeve 10 using a variety of boring methods. Preferably, in order to achieve uniform hole diameter and shape through-holes 14 are laser bored. Mechanical boring, such as by a mechanical drill and suitably sized bit may also be utilized.

In the embodiment shown in FIG. 4, the through-holes are best characterized as micro-cuts 20. The micro-cuts 20 are made by making an incision on the outside surface 22 of the sleeve 10 which passes through the outside surface 22 to the inside surface 24. When the sleeve 10 is placed on a catheter such as shown in FIG. 1, the user may find it desirable or necessary to apply a lubricant to the stent 30 which underlies the sleeves 10a and 10b. The micro-cuts 20 provide the sleeves 10a and 10b with the ability to wick a lubricant or other fluid through the outside surface 22 to the inside surface 24 where it will lubricate the stent.

In all embodiments the sleeve 10 may be constructed from a variety of

components. Preferably, sleeve 10 is made of an elastic polymer or polymers. The sleeve 10 may also contain non-elastic polymers exclusively or in part, but it may be necessary to process the non-elastic polymers to obtain the more desirable elastic characteristics. In a more preferred embodiment the sleeve 10 is constructed at least in part of TECOTHANE material and/or CARBOTHANE material. TECOTHANE and CARBOTHANE are well known trademarked names for respective classes of biocompatible medical grade polyurethanes, both of which are available from Thermedics Inc., located in Woburn, Massachusetts. TECOTHANE is an aromatic polyether based polyurethane having a durometer hardness range, as measured by the Shore D scale, of 75A to 77D. CARBOTHANE is an aliphatic polycarbonate based polyurethane having a durometer hardness range 73A and 75D.

In addition to being directed to the embodiments described above and claimed below, the present invention is further directed to embodiments having different combinations of the features described above and claimed below. As such, the invention is also directed to other embodiments having any other possible combination of the dependent features claimed below.

The above examples and disclosure are intended to be illustrative and not exhaustive. These examples and description will suggest many variations and alternatives to one of ordinary skill in this art. All these alternatives and variations are intended to be included within the scope of the attached claims. Those familiar with the art may recognize other equivalents to the specific embodiments described herein which equivalents are also intended to be encompassed by the claims attached hereto.

## CLAIMS

1. A stent delivery system comprising:
  - a stent delivery catheter, the stent delivery catheter having a stent mounting region;
  - 5 a stent disposed about the stent mounting region, the stent having an unexpanded position and an expanded position;
    - a stent retaining sleeve disposed about at least a portion of the stent in the unexpanded position, at least a portion of the stent retaining sleeve being further characterized as having a plurality of through-holes.
- 10 2. The stent delivery system of claim 1 wherein the stent further comprises a first end and a second end, the stent retaining sleeve further comprising a first stent retaining sleeve and a second stent retaining sleeve, the first and second stent retaining sleeves each having a stent retaining portion and an anchored portion, the stent retaining portion of the first stent retaining sleeve at least partially disposed about the first end of the stent  
15 in the unexpanded position, the anchored portion of the first stent retaining sleeve retained about the stent delivery catheter, the stent retaining portion of the second stent retaining sleeve at least partially disposed about the second end of the stent in the unexpanded position, the anchored portion of the second stent retaining sleeve retained about the stent delivery catheter.
- 20 3. The stent delivery system of claim 2 wherein the at least a portion of the stent retaining sleeves comprises the stent retaining portion of the respective sleeve.
4. The stent delivery system of claim 2 wherein the plurality of through-holes are distributed about the stent retaining sleeves in a predetermined pattern.
5. The stent delivery system of claim 2 wherein the plurality of through-holes are  
25 distributed about the stent retaining portion of the first and second sleeves respectively in a predetermined pattern.
6. The stent delivery system of claim 1 wherein the plurality of through-holes have uniform diameters.
7. The stent delivery system of claim 1, the plurality of through-holes each having  
30 individual diameters between 75-100 microns.
8. The stent delivery system of claim 5 wherein the plurality of through-holes are



uniformly sized and shaped.

9. The stent delivery system of claim 1 wherein the plurality of through-holes are formed with a laser.
10. The stent delivery system of claim 1 wherein the plurality of through-holes are  
5 mechanically bored.
11. The stent delivery system of claim 2 further comprising a lubricant, the lubricant applied to at least a portion of the stent delivery catheter through the plurality of through-holes.
12. The stent delivery system of claim 1 wherein the stent retaining sleeve is constructed  
10 from one or more elastic polymers.
13. The stent delivery system of claim 1 wherein the stent retaining sleeve is constructed from one or more non-elastic polymers.
14. The stent delivery system of claim 1 wherein the stent retaining sleeve is constructed from a combination of one or more elastic polymers and one or more non-elastic  
15 polymers.
15. The stent delivery system of claim 1 wherein the at least one stent retaining sleeve is constructed from materials selected from the group consisting of aromatic polyether based polyurethane, aliphatic polycarbonate based polyurethane and any combinations thereof.
- 20 16. The stent delivery system of claim 1 wherein the stent delivery catheter has an inner diameter between .028 inches and .045 inches.
17. The stent delivery system of claim 1 wherein the stent retaining sleeve is at least 10 mm long.
18. A stent delivery system with reduced deployment pressures comprising:  
25 a stent delivery catheter, the stent delivery catheter having a stent mounting region;  
a stent disposed about the stent mounting region, the stent having a unexpanded position and an expanded position, the stent having a first end and a second end;  
30 a pair of stent retaining sleeves having reduced radial and columnar strength, a stent retaining portion of each stent retaining sleeve disposed about a stent end

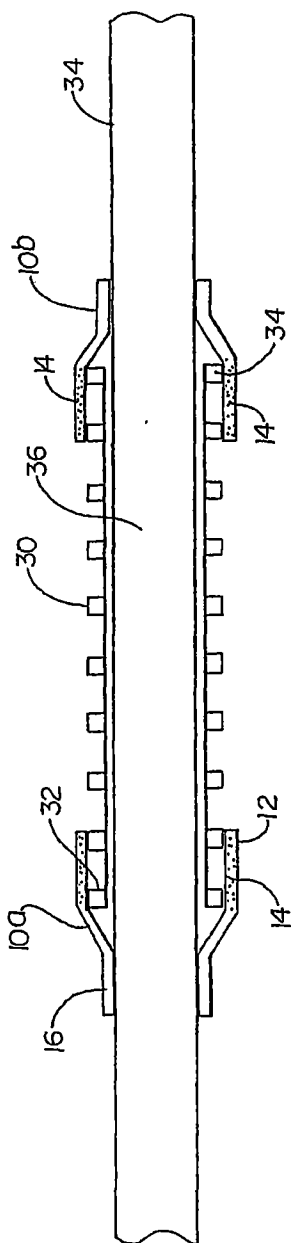
when the stent is in the unexpanded position, an anchored portion of each stent retaining sleeve secured to the stent delivery catheter, at least a portion of each of the stent retaining sleeves as having a plurality of radial and columnar strength reducing through-holes, when the stent is in the expanded position each of the stent retaining sleeves

5 releasing the stent from the stent mounting region.

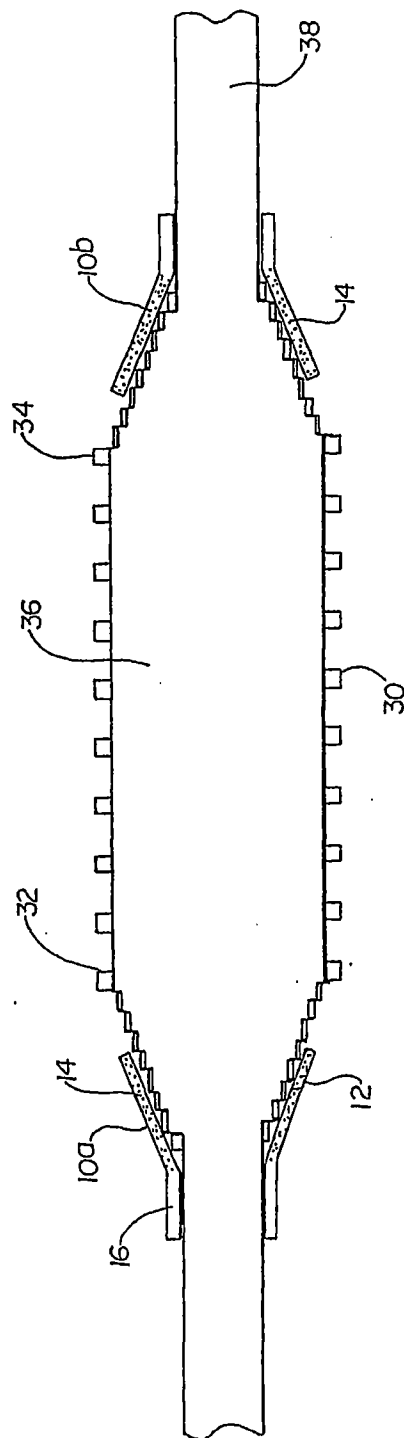
19. A stent retaining system comprising a pair of stent retaining sleeves having an inner diameter no greater than .08 inches, at least a portion of the stent retaining sleeves having a plurality of through-holes, each of the stent retaining sleeves constructed and arranged to be mountingly retained upon a stent delivery catheter about the end of a stent

10 disposed thereabout.

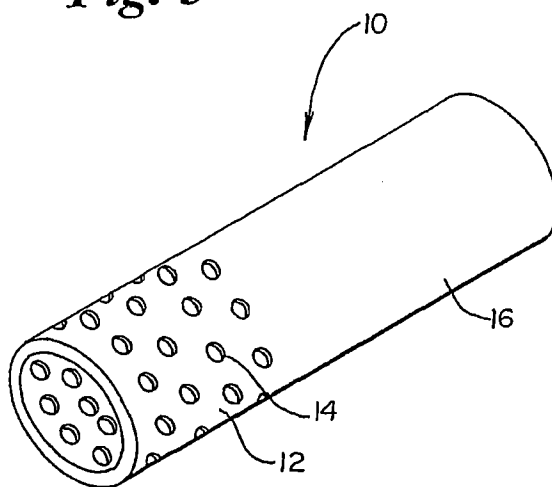
*Fig. 1*



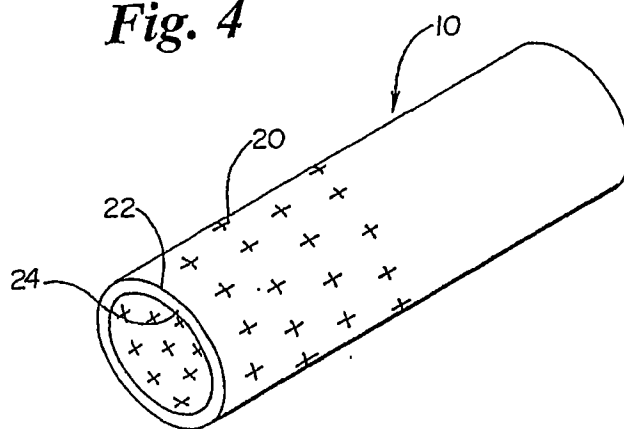
*Fig. 2*



*Fig. 3*



*Fig. 4*



## INTERNATIONAL SEARCH REPORT

International application No

PCT/US 01/03317

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61F2/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 31249 A (SOLAR RITA & GATERUD LTD) 10 October 1996 (1996-10-10) figures 4B-C page 11, line 11 -page 13, line 20	1-5, 8, 12, 18
Y	----	6, 11 19
A		
P, Y	WO 00 76425 A (SCIMED LIFE SYSTEMS INC) 21 December 2000 (2000-12-21) page 8, line 18 - line 21	6, 11
A		18, 19
A	US 5 980 530 A (RANDBY JOHN H ET AL) 9 November 1999 (1999-11-09) figures 1-4 column 3, line 35 -column 4, line 32	1-19

☐ Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

## \* Special categories of cited documents :

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*Z\* document member of the same patent family

Date of the actual completion of the international search

21 May 2001

Date of mailing of the international search report

30/05/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Mary, C

# INTERNATIONAL SEARCH REPORT

invention on patent family members

International Application No  
PCT/US 01/03317

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9631249 A	10-10-1996	US 5549635 A EP 0819015 A JP 11503341 T	27-08-1996 21-01-1998 26-03-1999
WO 0076425 A	21-12-2000	US 6168617 B	02-01-2001
US 5980530 A	09-11-1999	AU 4062297 A EP 0932376 A WO 9807388 A US 6068634 A	06-03-1998 04-08-1999 26-02-1998 30-05-2000